

Retirement Plan Information Request

Upon completion, please fax/e-mail this form to our office. All information will be kept confidential.

Fax: (708) 479-7766

e-mail: info@tcmccabe.com

Contact Information

Full Name: _____

Company Name: _____

Company Address: Street/PO Box: _____

City: _____

State: _____

ZIP: _____

Telephone: _____

E-Mail Address: _____

Are you looking to: establish a new plan? (Please complete Section 1)

make changes to an existing plan? (Please complete Section 2)

Section 1

Establishing a New Plan

When do you plan on implementing your new plan?

- within 3 months
- within 6 months
- within 1 year
- specific date ____/____/_____

Do you have a type of plan in mind?

- 401(k) only
- Profit Sharing only
- 401(k)/PS combination
- Defined Benefit
- Cash Balance
- not sure

Approximately how many employees would be eligible for the plan? _____

If a 401(k) plan, do you plan to make employer contributions? Yes No

Our office will be contacting you for a follow-up interview/meeting. Thank you for your interest.

Section 2

Making Changes to an Existing Plan

- Current plan type
- 401(k) only
 - Profit Sharing only
 - 401(k)/PS combination
 - Money Purchase
 - Defined Benefit

What change(s) are you considering? (check all that apply)

- Plan type
- Plan design/features
- Plan investments
- Third Party Administrator
- Financial Advisor

Current investment vehicle(s)

- Mutual Funds _____
- Insurance Product _____
- Brokerage Account _____
- Other _____

Current Third Party Administrator (TPA) _____

Current Financial Advisor _____

Approximately how many employees are eligible for the plan? _____

Approximate value of plan assets \$ _____

(Section 2 continued)

Reasons for considering change (check all that apply)

- Current plan type too expensive
- Employees do not appreciate/understand the plan
- Plan needs to attract/retain quality employees
- Investment results are not competitive in relation to fees/expenses
- Quality of TPA services does not match fees charged
- Quality of financial consulting does not match fees
- Other _____

Our office will be contacting you for a follow-up interview/meeting. Thank you for your interest.